

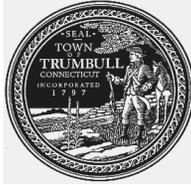
# Town of Trumbull

CONNECTICUT

www.trumbull-ct.gov

TOWN HALL  
Trumbull

TELEPHONE  
(203) 452-5005



## AGENDA No. 687

- I CALL TO ORDER
- II MOMENT OF SILENCE
- III PLEDGE OF ALLEGIANCE
- IV ROLL CALL
- V APPROVAL OF MINUTES
- VI BUSINESS

DATE: February 6, 2012  
TIME: 8:00 p.m.  
PLACE: Town Hall

NOTICE is hereby given that the Town Council of the Town of Trumbull, Connecticut will hold a regular meeting on Monday, February 6, 2012 at 8:00 p.m. at the Trumbull Town Hall, for the following purpose:

### DISCUSSION ITEMS:

- BEI Presentation
- Town of Trumbull McGladrey Pullen Audit Report
- Trumbull High School Building Committee Update:
  - a. Chairman's Report
  - b. Owner's Rep Update
  - c. Architect's Update
  - d. Construction Manager Update

- 
1. RESOLUTION TC24-13: To consider and act upon a resolution which would approve the appointment of Tony Scinto of 32 Lindberg Drive as a member of the Redistricting Committee. (R&R)
  2. RESOLUTION TC24-14: To consider and act upon a resolution which would approve the appointment of Vicki Tesoro of 133 Beechwood Avenue as a member of the Redistricting Committee. (R&R)
  3. RESOLUTION TC24-15: To consider and act upon a resolution which would approve the appointment of \_\_\_\_\_ of \_\_\_\_\_ as an alternate member of the Board of Assessment Appeals for a term ending the first Monday of December, 2013. (R&R)

4. RESOLUTION TC24-16: To consider and act upon a resolution which would approve the appointment of \_\_\_\_\_ of \_\_\_\_\_ as an alternate member of the Board of Assessment Appeals for a term ending the first Monday of December, 2013. (R&R)
5. RESOLUTION TC24-17: To consider and act upon a resolution which would appropriate \$4,588 from the Unrestricted Fund Balance to 01022000-581888 Police-Capital Outlay for costs associated with a department vehicle purchase. (Finance)
6. RESOLUTION TC24-18: To consider and act upon a resolution which would authorize First Selectman Timothy M. Herbst authorized to make, execute and approve on behalf of the Town of Trumbull any and all contracts, documents, agreements and amendments for the State of Connecticut Municipal Quick Spend Energy Efficiency & Conservation Program. (L&A)
7. RESOLUTION TC24-19: To consider and act upon a resolution which would amend the Rules of the Town Council to include the following rule: All affirmative votes of resolutions for appointment of nominees, including but not limited to Boards, Commissions and Committees, shall be effective upon publication of the approved resolutions, provided the vote is at least 2/3 of the Town Council members present and voting. (R&R)
8. RESOLUTION TC24-12: To consider and act upon a resolution which would approve the adoption of a 401(a) retirement plan for the employees of the Town of Trumbull. (L&A)

## VI ADJOURNMENT

COPY OF THE RESOLUTION ATTACHED HERETO

Carl A. Massaro, Jr., Town Council Chairman

## RESOLUTIONS

1. RESOLUTION TC24-13: BE IT RESOLVED, That Tony Scinto of 32 Lindberg Drive, be and the same is hereby appointed a member of the Redistricting Committee.
2. RESOLUTION TC24-14: BE IT RESOLVED, That Vicki Tesoro of 133 Beechwood Avenue, be and the same is hereby appointed a member of the Redistricting Committee.
3. RESOLUTION TC24-15: BE IT RESOLVED, That \_\_\_\_\_ of \_\_\_\_\_, be and the same is hereby appointed an alternate member of the Board of Assessment Appeals for a term ending the first Monday of December, 2013.
4. RESOLUTION TC24-16: BE IT RESOLVED, That \_\_\_\_\_ of \_\_\_\_\_, be and the same is hereby appointed an alternate member of the Board of Assessment Appeals for a term ending the first Monday of December, 2013.
5. RESOLUTION TC24-17: BE IT RESOLVED, That 4,588 is hereby appropriated from the Unrestricted Fund Balance to 01022000-581888 Police-Capital Outlay for costs associated with a department vehicle purchase.
6. RESOLUTION TC24-18: BE IT RESOLVED, WHEREAS, on January 4, 2012 the State of Connecticut Department of Energy and Environmental Protection (DEEP) notified the Town of Trumbull that there is \$300,000 in unspent federal monies available from Connecticut's allocation of funds under the American Recovery and Reinvestment Act Energy Efficiency and Conservation Block Grant; and

WHEREAS, these monies are available through the State of Connecticut Municipal Quick Spend Energy Efficiency & Conservation Program;

WHEREAS, the Town of Trumbull is requesting \$10,125.00 in Quick Spend funds for the installation of programmable thermostats in the Senior Center Building to conserve energy and reduce operating costs;

THEREFORE, BE IT RESOLVED, That First Selectman, Timothy M. Herbst, is hereby authorized to make, execute and approve on behalf of the Town of Trumbull any and all contracts, documents, agreements and amendments for the State of Connecticut Municipal Quick Spend Energy Efficiency & Conservation Program.

7. RESOLUTION TC24-19: BE IT RESOLVED, That the Rules of the Town Council shall be amended to include the following rule: All affirmative votes of resolutions for appointment of nominees, including but not limited to Boards, Commissions and Committees, shall be effective upon publication of the approved resolutions, provided the vote is at least 2/3 of the Town Council members present and voting.

8. RESOLUTION TC24-12: BE IT RESOLVED, WHEREAS, the Town Council upon the recommendation of the Pension Board, herein referred to as the “Board”, may provide for the retirement of Town elected officials and appointed employees; and

WHEREAS, the Town Council upon the recommendation of the “Board” may adopt retirement plans as it may deem advisable; and

WHEREAS, the Town Council has received a recommendation from the “Board” to adopt a retirement plan;

NOW THEREFORE, BE IT RESOLVED, that the Town Council hereby approves and adopts the Town of Trumbull 401(a) Plan, herein referred to as the “Town Plan”, to provide employees of the Town of Trumbull with retirement benefits; and

BE IT FURTHER RESOLVED that the Town Council hereby does hereby declare the intention of the Town of Trumbull to continue the “Town Plan”, but hereby reserves the right to terminate or amend the “Town Plan” at any time; and

BE IT FURTHER RESOLVED, that the representatives of the Town Council are hereby jointly and severally authorized to take such actions and to execute such documents they deem necessary or desirable in order to carry out the intent of the foregoing resolutions and required under the “Town Plan” to make the “Town Plan” fully effective in accordance with its terms and intent.

**TOWN OF TRUMBULL DEFINED CONTRIBUTION RETIREMENT PLAN**

**ADOPTED USING  
PDS ADVANTAGE™ GOVERNMENT 401(a) PLAN  
ADOPTION AGREEMENT No. 2  
WITH  
BASE PLAN DOCUMENT No. 2**

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**TOWN OF TRUMBULL DEFINED CONTRIBUTION RETIREMENT PLAN**

**ADOPTED USING  
PDS ADVANTAGE™ GOVERNMENT 401(a) PLAN  
ADOPTION AGREEMENT No. 2  
WITH  
BASE PLAN DOCUMENT No. 2**

**I. SERVICE PROVIDER INFORMATION**

**I.1 SERVICE PROVIDER**

(a) ♦ Name:

Hartford Life Insurance Company

(b) ♦ Address:

PO Box 1583  
Hartford, CT 06144-1583

## II. GENERAL PLAN INFORMATION

### II.1 EMPLOYER

- (a) ♦ Legal name of employer:

Town of Trumbull

- (b) ♦ Address:

5866 Main Street  
Trumbull, CT 06611

- (c) ♦ Employer identification number: 06-6002110  
(d) ♦ Employer's fiscal year ends: June 30

### II.2 PLAN

- (a) ♦ Plan type:

- (1) ( ) **Money purchase plan** (*in-service withdrawals of employer contributions, including pick-up contributions, not permitted prior to normal retirement age, unless elected otherwise in Section XVIII*)  
(2) (X) **Profit-sharing plan**  
(3) (X) **Pick-up contributions only** (*may include matching contributions*)  
(4) ( ) **Pick-up and nonelective contributions** (*may include matching contributions*)  
(5) ( ) **Matching contributions only**  
(6) ( ) **Nonelective contributions only**

- (b) ♦ Plan name:

Town of Trumbull Defined Contribution Retirement Plan

- (c) ♦ Plan number: 002

### II.3 PLAN ADMINISTRATOR

- (a) ♦ Name:

Town of Trumbull

- (b) ♦ Address:

5866 Main Street  
Trumbull, CT 06611

- (c) ♦ Phone: (203) 452-5013

#### II.4 PLAN EFFECTIVE DATES

- (a) (X) New plan effective date (*month/day/year*): **February 15, 2012**  
(b) ( ) Restatement effective date (*month/day/year*): \_\_\_\_\_  
(1) ♦ Original effective date (*month/day/year*): \_\_\_\_\_  
(2) [ ] Plan name changed upon restatement  
(A) ♦ Prior plan name:

\_\_\_\_\_

- (3) [ ] Frozen plan

#### II.5 VARYING EFFECTIVE DATES

- (a) [ ] Specific plan provisions have special effective dates  
(1) ♦ Specified plan provisions and their special effective dates:

\_\_\_\_\_

#### II.6 PLAN YEAR AND LIMITATION YEAR

- (a) ♦ Plan year is:  
(1) ( ) The 12-consecutive month period commencing on \_\_\_\_\_  
(month/day) and each anniversary thereof  
(2) (X) The 12-consecutive month period commencing on **January 1**  
(month/day) and each anniversary thereof except that the first plan year  
will commence on **February 15, 2012** (*month/day/year*)  
(This election for new plans only)  
(b) ♦ Limitation year is:  
(1) (X) The 12-month period coinciding with the plan year  
(2) ( ) The 12-month period beginning on \_\_\_\_\_ (*month/day*)

### III. MERGERS AND SPIN-OFFS

#### III.1 SPIN-OFF PLAN

(a)  Plan is spin-off from other plan

(1) ♦ Name of other plan

--

#### III.2 MERGER DOCUMENTATION

(a)  Other plan(s) merged into existing plan  
(Complete Addendum Re: Plan Mergers.)

#### **IV. GRANDFATHERED PROVISIONS**

*(Government plans are not subject to the requirements of Code Section 411(d)(6), protecting accrued benefits, retirement subsidies, forms of payment, etc. However, many government employers elect to grandfather prior plan features in any event.)*

##### **IV.1 GRANDFATHERED ANNUITIES**

- (a)  **Annuity form of payment grandfathered for certain participants**  
*(Complete Addendum Re: Grandfathered Annuities.)*

##### **IV.2 GRANDFATHERED IN-SERVICE WITHDRAWAL PROVISIONS**

- (a)  **Prior in-service withdrawal provisions grandfathered for certain participants**  
*(Complete Addendum Re: Grandfathered Withdrawal Provisions.)*

##### **IV.3 GRANDFATHERED VESTING PROVISIONS**

- (a)  **Grandfather prior vesting schedule(s)**  
*(Complete Addendum Re: Grandfathered Vesting Schedules.)*

## **V. PERMITTED CONTRIBUTIONS**

### **V.1 PICK-UP CONTRIBUTIONS**

*(Employee contributions that are "picked up" pursuant to Code Section 414(h)(2))*

- (a)  Ongoing pick-up contributions

### **V.2 AFTER-TAX CONTRIBUTIONS**

- (a)  Plan assets include after-tax contributions:  
(1)  Ongoing after-tax contributions  
(2)  Transferred after-tax contributions  
(3)  Frozen after-tax contributions

### **V.3 ROLLOVER CONTRIBUTIONS**

- (a)  Rollovers into plan permitted  
(1)  Only covered employees who have met the applicable age and/or service requirements may make rollover contributions  
(2)  Participants may roll loans into plan

### **V.4 EMPLOYER CONTRIBUTIONS**

- (a)  Ongoing nonelective contribution  
(b)  Prior nonelective contributions  
(c)  Ongoing matching contributions  
(d)  Prior matching contributions

## VI. COVERAGE AND ELIGIBILITY

### VI.1 COVERED EMPLOYEES FOR EMPLOYER, PICK-UP, AND EMPLOYEE CONTRIBUTIONS EXCLUDE

*(Persons classified by the employer as independent contractors, who are recharacterized as employees of the employer, are automatically excluded from coverage unless and until the employer elects to extend coverage to such persons.)*

- (a)  Leased employees
- (b)  Collectively-bargained employees
- (c)  Non-resident aliens
- (d)  HCEs
- (e)  Employees who normally work fewer than 20 hours per week
- (f)  Employees at the following locations:

- (1)  Excluded locations:

- (g)  Other excluded employees

- (A)  Excluded employees:

All Employees except:  
Those in a Elected or Appointed Position  
Civil Service Employees  
Permanent Nurses  
Non Union Board of Education Employees  
Union employees except those covered by an agreement that allows for their participation

### VI.2 ELIGIBILITY REQUIREMENTS

- (a)  No age or service requirement:

- (1)  Pick-up contributions
- (2)  Matching contributions
- (3)  Nonelective contributions
- (4)  After-tax contributions

- (b)  Service requirement

*(Fill in the blanks below with the amount of service required. Any service requirement not in whole year(s) of service requires service for eligibility to be based on elapsed time.)*

- (1)  Pick-up contributions 6 Months
  - (A)  Elapsed time
  - (B)  Hours of service
- (2)  Matching contributions 6 Months
  - (A)  Elapsed time
  - (B)  Hours of service
- (3)  Nonelective contributions \_\_\_\_\_
  - (A)  Elapsed time

- (B)  Hours of service
- (4)  After-tax contributions \_\_\_\_\_
- (A)  Elapsed time
- (B)  Hours of service
- (c)  Age requirement
  - (1)  Pick-up contributions 21 (indicate minimum age)
  - (2)  Matching contributions 21 (indicate minimum age)
  - (3)  Nonelective contributions \_\_\_\_\_ (indicate minimum age)
  - (4)  After-tax contributions \_\_\_\_\_ (indicate minimum age)
- (d)  Employees who were employed on or before the original effective date of the plan or the restatement effective date of the plan, as indicated in Section II.4.(a) or (b), shall/shall not be immediately eligible without regard to any age and/or service requirements specified in VI.2.(b) or (c) above.
  - (1)  Shall
  - (2)  Shall not

**VI.3 HOURS OF SERVICE METHOD OF CREDITING ELIGIBILITY SERVICE**

*(Complete only if eligibility service is credited for any purpose by the hours of service method.)*

- (a)  Required hours:
  - (1)  1,000 Hours required for year of service
  - (2)  \_\_\_\_\_ Hours required for year of service
- (b)  Computation period switches to plan year
- (c)  Hours credited using the following DOL equivalency:
 

*(Government plans are not required to use DOL equivalencies to determine hours.)*

  - (1)  10 hours per day (DOL equivalency)
  - (2)  45 hours per week (DOL equivalency)
  - (3)  95 hours per semi-monthly payroll period (DOL equivalency)
  - (4)  190 hours per month (DOL equivalency)
  - (5)  Other: \_\_\_\_\_
- (d)  Limit hours of service credited during absence from employment *(other than military leave)*
  - (1)  Number of hours credited for absence: \_\_\_\_\_
  - (2)  Limit does not apply to the following specified absences:

**VI.4 ELIGIBILITY ON REEMPLOYMENT**

- (a)  Reemployed employees are treated the same as new employees and must again satisfy any applicable eligibility requirements
- (b)  Rule of parity
  - (1)  Rule of parity applies for crediting eligibility service
 

*(Prior eligibility service is lost on reemployment unless employee has less than 5 consecutive breaks in eligibility service.)*

## VII. ENTRY DATES AND RETIREMENT DATES

### VII.1 ENTRY DATES

- (a)  Daily
- (b)  Monthly
- (c)  1st day of each payroll period
- (d)  Other dates:

### VII.2 ENTRY OPTION

- (a)  Participate on entry date coinciding with or next following satisfaction of eligibility requirements

### VII.3 ELECTIONS NOT TO PARTICIPATE

- (a)  Employees may make an election not to participate in the plan  
*(The election not to participate in the plan is an irrevocable election for purposes of pick-up contributions.)*
  - (1)  Election not to participate is irrevocable
- (b)  Employees may make a one time election to never make pick-up contributions  
*(The selection of this option will be irrevocable.)*

### VII.4 RETIREMENT DATES

- (a)  Normal retirement date is:
  - (1)  Attainment of a specified age: 65 ( $\leq 65$ )
  - (2)  Later of age \_\_\_\_\_ ( $\leq 65$ ) or \_\_\_\_\_ ( $\leq 5th$ ) anniversary of commencement
    - (A)  Anniversary of commencement of:
      - (i)  Employment
      - (ii)  Participation
- (a)  Early retirement date is:
  - (1)  Not applicable
  - (2)  Attainment of a specified age: \_\_\_\_\_ ( $\leq 65$ )
  - (3)  Later of specified age: \_\_\_\_\_ ( $\leq 65$ ) or completion of: \_\_\_\_\_ years of vesting service

## VIII. COMPENSATION

### VIII.1 DEFINITION OF COMPENSATION

*(Generally, government plans are not subject to discrimination testing under Section 401(a)(4). Therefore, compensation need not satisfy Code Section 414(s) in order to avoid testing.)*

- (a)  **W-2**
- (b)  **W-2 less moving expenses only**
- (c)  **Withholding**
- (d)  **General section 415** *(all specific inclusions in 1.415(c)-2(b) and all specific exclusions in 1.415(c)-2(c))*
- (e)  **Modified section 415** *(safe harbor definition in 1.415(c)-2(d): includes only general inclusions in 1.415(c)-2(b)(1) or (2) and all specific exclusions under 1.415(c)-2(c))*

### VIII.2 OPTIONAL INCLUSIONS TO COMPENSATION FOR PURPOSES OF CONTRIBUTIONS

- (a)  **Optional inclusions apply**
  - (1)  **All safe harbor inclusions**  
*(All amounts deferred or excluded from taxable compensation under Code Section 125, 132(f)(4), 402(g)(3), 402(h)(1)(B), 403(b), or 457(b) are added back to compensation for purposes of determining contributions.)*
  - (A)  **Where group health plan does not permit cash distribution in lieu of coverage unless participant can certify that he has other health coverage, amounts not receivable because participant cannot make requisite certification are nevertheless deemed to come under Code Section 125**
  - (2)  **Only pick-up contributions**  
*(Do not select with 1 above.)*

### VIII.3 OPTIONAL INCLUSIONS IN COMPENSATION FOR BOTH CONTRIBUTIONS AND 415 LIMITS

- (a)  **Specified post-severance payments made before later of (i) end of plan year in which severance occurs or (ii) within 2 1/2 months of severance** *(choose (1) and/or (2) below):*  
*(Regular compensation for services, commissions, bonuses, or other similar payments that would have been paid to a participant before termination if his employment had continued are automatically included in compensation if paid within the period specified above, unless specifically excluded in VIII.4.(a)(2)(E) below.)*
  - (1)  **Payments for accrued leave** *(bona fide sick leave or vacation) the participant would have been able to use such leave if employment continued*
  - (2)  **Amounts received from a non-qualified, unfunded deferred compensation plan that would have been payable at the same time if the participant continued employment, but only to the extent includable in gross income**

### VIII.4 OPTIONAL EXCLUSIONS FROM COMPENSATION FOR PURPOSES OF CONTRIBUTIONS

- (a)  **Optional exclusions apply**
  - (1)  **Safe harbor exclusions apply**  
*(Reimbursements or other expense allowances, fringe benefits, moving expenses, deferred compensation, and welfare benefits are all excluded from compensation.)*

- (2)  **Non-safe harbor exclusions apply**
- (A)  **Bonuses**
  - (B)  **Overtime**
  - (C)  **Commissions**
  - (D)  **Post-severance payments of regular compensation for services, commissions, bonuses, and other similar payments even if paid before later of (i) end of plan year in which severance occurs or (ii) within 2 1/2 months of severance**
  - (E)  **Other:**

- (3)  **Compensation taken into account for a plan year shall not exceed**  
\$\_\_\_\_\_ *(must be less than the 401(a)(17) limit)*

## IX. PICK-UP, AFTER-TAX, AND ROLLOVER CONTRIBUTIONS

### IX.1 PICK-UP CONTRIBUTIONS

*(Complete if plan provides for contributions "picked-up" pursuant to Code Section 414(h)(2).)*

- (a) ♦ Amount of pick-up contributions:
- (1)  Percentage of compensation: 5%
  - (2)  Percentage of compensation: from \_\_\_\_\_% to \_\_\_\_\_% of compensation
- (b) ♦ Date of commencement of pick-up contributions
- (1)  First payment of compensation made on or after eligibility
  - (2)  First payroll period beginning on or after eligibility
  - (3)  First payroll period ending on or after eligibility
  - (4)  As soon as administratively practicable after eligibility

### IX.2 ONGOING AFTER-TAX CONTRIBUTIONS

*(Complete if plan provides for ongoing after-tax contributions.)*

- (a) ♦ Method of contributing:
- (1)  Both lump sum contribution and payroll withholding from \_\_\_\_\_% to \_\_\_\_\_% of compensation  
*(Lump sum contributions will be limited to the same maximum percentage of Compensation specified with respect to contributions by payroll withholding.)*
  - (2)  Only payroll withholding from \_\_\_\_\_% to \_\_\_\_\_% of compensation
  - (3)  Only lump sum contribution limited to specified percentage of compensation: \_\_\_\_\_%
- (b) ♦ Date of commencement of contributions by payroll withholding
- (1)  First payment of compensation made on or after election
  - (2)  First payroll period beginning on or after election
  - (3)  First payroll period ending on or after election
  - (4)  As soon as administratively practicable after election

### IX.3 MODIFICATIONS OF CONTRIBUTION ELECTIONS

*(Complete if Section 2. above has been completed.)*

- (a) ♦ A participant may change the amount of his after-tax contributions as of:
- (1)  Any enrollment date
  - (2)  First day of each month
  - (3)  First day of the plan year
  - (4)  Any day of the plan year
  - (5)  First day of the calendar quarter
  - (6)  Dates prescribed by administrator
  - (7)  Other date: \_\_\_\_\_

### IX.4 ROLLOVER CONTRIBUTIONS

*(Complete if plan provides for rollover contributions.)*

- (a) ♦ "Direct rollovers" (rollover is made directly to plan from other qualified plan or annuity contract):

- (1) ( ) Are not accepted under the plan
- (2) (X) Are accepted from the following sources:
  - (A) [ ] A qualified plan described in Code Section 401(a) or 403(a), excluding after-tax employee contributions
  - (B) [X] A qualified plan described in Code Section 401(a) or 403(a), including after-tax employee contributions  
*(Do not select if preceding selection is marked.)*
  - (C) [ ] An annuity contract described in Code Section 403(b), excluding after-tax employee contributions
  - (D) [X] An annuity contract described in Code Section 403(b), including after-tax employee contributions  
*(Do not select if preceding selection is marked.)*
  - (E) [X] An eligible plan under Code Section 457(b) which is maintained by a state, political subdivision of a state, or any agency or instrumentality of a state or political subdivision of a state
  - (F) [X] An individual retirement account or annuity, excluding designated Roth contributions and after-tax contributions
- (3) ♦ After-tax contributions rolled over to the plan are accounted for separately and treated for plan purposes *(including in-service withdrawals)* as:
  - (A) (X) Rollover contributions
  - (B) ( ) After-tax contributions
- (b) ♦ "Participant rollovers" *(distribution from other qualified plan or annuity contract is first made to individual who then elects to roll it over):*
  - (1) ( ) Are not accepted under the plan
  - (2) (X) Are accepted from the following sources:
    - (A) [X] A qualified plan described in Code Section 401(a) or 403(a), excluding after-tax contributions
    - (B) [X] An annuity contract described in Code Section 403(b), excluding after-tax contributions
    - (C) [X] An eligible plan under Code Section 457(b) which is maintained by a state, political subdivision of a state, or any agency or instrumentality of a state or political subdivision of a state
    - (D) [X] An individual retirement account or annuity, excluding after-tax contributions

**X. EMPLOYER MATCHING CONTRIBUTIONS**

**X.1 CONTRIBUTIONS THAT ARE MATCHED**

*(Select one or more of the following)*

- (a)  Deferrals under another plan
  - (1)  Name of plan: \_\_\_\_\_
- (b)  Pick-up contributions
- (c)  After-tax contributions

**X.2 MATCH FEATURES**

- (a)  Matching contribution is:
  - (1)  Required in specified amount
    - (A)  Specified match rate
      - (i)  Single match rate: 100%
      - (ii)  Dual match rates: \_\_\_\_\_% of contributions up to specified limit and \_\_\_\_\_% of contributions above that amount
        - (a)  Limit is:
          - (1)  Specified percentage of compensation : \_\_\_\_\_%
          - (2)  Specified dollar amount: \$ \_\_\_\_\_
      - (B)  Compensation earned before eligibility to participate in match will be excluded
      - (C)  Additional discretionary match permitted in amount specified by employer
    - (2)  Discretionary

**X.3 OPTIONAL LIMITATIONS ON MATCHING CONTRIBUTIONS**

- (a)  No match for contributions attributable to following types of compensation:
- (b)  No match for after-tax contributions withdrawn before the end of the plan year
- (c)  No match for contributions made before eligibility to participate in match
- (d)  No match for contributions above a specified limitation
  - (1)  Limitation is:
    - (A)  5% of compensation
    - (B)  \$ \_\_\_\_\_
    - (C)  \_\_\_\_\_% of compensation, provided that contributions matched cannot exceed \$ \_\_\_\_\_
    - (D)  Discretionary limitation that may be a percentage of compensation and/or a dollar amount
  - (2)  Compensation earned before eligibility to participate in match excluded in determining limitation
- (e)  Total match for plan year cannot exceed \$ \_\_\_\_\_
- (f)  Limitations selected in (a), (b), (c), (d), (e) and (f) above also apply to any

**additional discretionary match**

**X.4 CONTRIBUTION PERIOD**

*(The contribution period for the additional, discretionary matching contributions is the plan year.)*

- (a)  Each month
- (b)  Each calendar quarter
- (c)  Each calendar year
- (d)  Each plan year
- (e)  Each payroll period
- (f)  Each *(other)*:

--

**XI. EMPLOYER NONELECTIVE CONTRIBUTIONS**

*Complete if plan provides for nonelective contributions*

**XI.1 NONELECTIVE CONTRIBUTION FEATURES**

- (a)  Required in amount specified in allocation formula
- (b)  Discretionary

**XI.2 ALLOCATION FORMULA**

- (a)  Ratio of compensation allocation formula
  - (1)  Percentage of compensation allocated to each participant is: \_\_\_\_\_% *(select if contribution amount is required.)*
- (b)  Uniform dollar amount allocation formula
  - (1)  If contribution amount is required:
    - (A)  \$\_\_\_\_\_ for the following:
      - (i)  Each hour worked by the participant
      - (ii)  Each hour for which the participant is paid
      - (iii)  Each contribution period
      - (iv)  Other: \_\_\_\_\_  
*(Cannot exceed 12-consecutive months)*
    - (B)  The dollar amount specified in the applicable collective bargaining agreement for each hour worked
- (c)  Other

**XI.3 ADDITIONAL NONELECTIVE CONTRIBUTION**

- (a)  Employer may make additional, discretionary contribution to be allocated in same manner as required contribution *(may select only if contribution is required)*

**XI.4 COMPENSATION EXCLUSION**

- (a)  Compensation earned by employee prior to becoming eligible to participate excluded in allocating nonelective contribution

**XI.5 CONTRIBUTION PERIOD**

- (a)  General contribution period:
  - (1)  Each month
  - (2)  Each calendar quarter
  - (3)  Each calendar year
  - (4)  Each plan year
  - (5)  Each payroll period
  - (6)  Each *(other)*: \_\_\_\_\_
- (b)  Separate contribution period for compensation used to determine allocations of nonelective contributions: \_\_\_\_\_

## XII. VESTING OF EMPLOYER CONTRIBUTIONS

### XII.1 REGULAR VESTING SCHEDULE

- (a) ♦ **Regular and additional discretionary matching contributions schedule: [6]**  
*(Fill in number of selected vesting schedule from below.)*
- (b) ♦ **Nonelective contributions schedule: [N/A]**  
*(Fill in number of selected vesting schedule from below.)*
- (c) ♦ **Prior matching contributions schedule: [N/A]**  
*(Fill in number of selected vesting schedule from below. If the plan provides for current matching contributions, the number must be the same as the number selected in (a) above.)*
- (d) ♦ **Prior nonelective contributions schedule: [N/A]**  
*(Fill in number of selected vesting schedule from below. If the plan provides for current nonelective contributions, the number must be the same as the number selected in (b) above.)*

1 immediate	2 3 year cliff	3 5 year cliff	4A Other cliff schedule for matching contributions	4B Other cliff schedule for nonelective contributions
100% immediate	0% before 3 years	0% before 5 years	0% before ____ years	0% before ____ years
	100% after 3 years	100% after 5 years	100% after ____ years	100% after ____ years



- (2)  Immediate upon distribution
  - (3)  Upon 1 break in vesting service
  - (4)  At end of plan year in which terminate
  - (5)  At end of plan year in which distribution made
  - (6)  Only upon 5 consecutive breaks in vesting service
- (b)  Restoration of forfeitures
- (1)  Forfeitures not restored
  - (2)  Restore on reemployment before 5 breaks in vesting service:
    - (A)  Restore forfeited amounts only if repay distribution of employer contributions (*required buyback*)
    - (B)  Restore forfeited amounts and may repay distribution of employer contributions (*optional buyback*)
    - (C)  Restore forfeited amounts and cannot repay distribution (*no buyback*)
- (c)  Treatment of forfeited nonelective contributions:
- (1)  Offset employer's contribution obligation
    - (A)  If forfeitures remain after offset:
      - (i)  Held in suspense account and offset future contributions
      - (ii)  Allocated to participants
    - (2)  Re-allocate to participants
    - (3)  Allocation provisions:
      - (A)  Participants eligible for re-allocation:
        - (i)  Last day requirement only - must be in covered employment
        - (ii)  Service requirement only:
          - (a)  Hours of service requirement: \_\_\_\_\_
        - (iii)  Last day and service requirement - must be in covered employment for last day
          - (a)  Hours of service requirement: \_\_\_\_\_
        - (iv)  No last day or service requirement
      - (B)  Exceptions to last day and/or service requirements:
        - (i)  Last day requirement does not apply in cases of:
          - (a)  Death
          - (b)  Normal retirement
            - (1)  Exception also applies to early retirement
          - (c)  Disability
        - (ii)  Service requirement does not apply in cases of:
          - (a)  Death
          - (b)  Normal retirement
            - (1)  Exception also applies to early retirement
          - (c)  Disability
      - (C)  Employment requirement for re-allocation:
        - (i)  Re-allocate only to participants employed during the plan year by the employer for whom the participant last performed services
        - (ii)  Re-allocate to participants employed during the plan year by any employer
      - (D)  Re-allocation based on:

- (i)  Method of allocating nonelective contribution
    - (ii)  Ratio of compensation
  - (4)  Forfeitures may be used to pay plan expenses:
    - (A)  Plan expenses paid before either contributions are offset or forfeitures are re-allocated
    - (B)  Administrator directs whether plan expenses paid before either contributions are offset or forfeitures are re-allocated
- (d)  Treatment of forfeited matching contributions:
  - (1)  Offset employer's contribution obligation
    - (A)  If forfeitures remain after offset:
      - (i)  Held in suspense account and offset future contributions
      - (ii)  Allocated to participants
  - (2)  Re-allocate to participants
  - (3)  Allocation provisions
    - (A)  Participants eligible for re-allocation:
      - (i)  Last day requirement only - must be in covered employment
      - (ii)  Service requirement only:
        - (a)  Hours of service requirement: \_\_\_\_\_
      - (iii)  Last day and service requirement - must be in covered employment for last day
        - (a)  Hours of service requirement: \_\_\_\_\_
      - (iv)  No last day or service requirement
    - (B)  Exceptions to last day and/or service requirements:
      - (i)  Last day requirement does not apply in cases of:
        - (a)  Death
        - (b)  Normal retirement
          - (1)  Exception also applies to early retirement
        - (c)  Disability
      - (ii)  Service requirement does not apply in cases of:
        - (a)  Death
        - (b)  Normal retirement
          - (1)  Exception also applies to early retirement
        - (c)  Disability
    - (C)  Employment requirement for re-allocation:
      - (i)  Re-allocate only to participants employed during the plan year by the employer for whom the participant last performed services
      - (ii)  Re-allocate to participants employed during the plan year by any employer
    - (D)  Re-allocate only to participants who have made pick-up contributions (or after-tax contributions, if after-tax contributions are matched) for the plan year.
    - (E)  Re-allocation based on:
      - (i)  Ratio that participant's contribution percentage (ratio of pick-up contributions or matched after-tax contributions to compensation) bears to aggregate contribution percentages of all participants
      - (ii)  Ratio of compensation

- (iii) ( ) **Ratio that participant's pick-up contributions** *(or after-tax contributions, if after-tax contributions are matched)* **bears to aggregate of such contributions for all eligible participants**
- (4) [X] **Forfeitures may be used to pay plan expenses:**
  - (A) ( ) **Plan expenses paid before either contributions are offset or forfeitures are re-allocated**
  - (B) (X) **Administrator directs whether plan expenses paid before either contributions are offset or forfeitures are re-allocated**

### XIII. CREDITING VESTING SERVICE

Complete this Article XIII. only if employer contributions are not immediately 100% vested or if early retirement is contingent upon completion of a specified number of years of vesting service or if allocation formula is based on years of vesting service.

#### XIII.1 YEARS OF SERVICE CREDITING METHOD

- (a)  Elapsed time method
- (b)  Hours of service method
  - (1)  Required hours:
    - (A)  1,000 hours required for year of service
    - (B)  \_\_\_\_\_ hours required for year of service
  - (2)  Hours of service computation period for crediting vesting service
    - (A)  Plan year
    - (B)  Anniversaries of employment commencement date
  - (3)  Hours of service credited using following equivalency (if eligibility service is credited using hours of service method, same options must be selected below as were selected for eligibility service)
    - (A)  10 hours per day (DOL equivalency)
    - (B)  45 hours per week (DOL equivalency)
    - (C)  95 hours per semi-monthly payroll period (DOL equivalency)
    - (D)  190 hours per month (DOL equivalency)
    - (E)  Other: \_\_\_\_\_
  - (4)  Limit hours of service credited during absence from employment other than military leave (if eligibility service is credited using hours of service method, same options must be selected below as were selected for eligibility service)
    - (A)  Number of hours credited for absence: 501 hours  
(Not fewer than hours required to prevent a break in vesting service.)
    - (B)  Limit does not apply to absences because of:

#### XIII.2 VESTING SERVICE EXCLUSIONS

- (a)  Period before employee attains age 18
- (b)  Period before the effective date of the plan
- (c)  Upon reemployment, exclude all prior vesting service in determining vested interest in account earned following reemployment
- (d)  Apply rule-of-parity
- (e)  Period prior to employee's break-in-service until employee completes a year of service
- (f)  Defined contribution plan exclusion  
(Vesting service completed after 5 consecutive breaks in vesting service is not taken into account in determining a participant's vested interest in his account prior to his break in vesting service.)

## XIV. ADDITIONAL REQUIREMENTS FOR RECEIVING EMPLOYER CONTRIBUTIONS

### XIV.1 ALLOCATION REQUIREMENTS

- (a) ♦ Select available options from (b) below and enter option number next to each applicable type of employer contribution (each available option that is selected must be completed as indicated):

Nonelective contributions [N/A]

Regular Matching contributions [5]

Additional discretionary matching contributions [N/A]

- (b) ♦ Available options:

(1) Last day requirement only - must be in covered employment

(2) Service requirement only:

(A) ( ) Hours of service requirement for nonelective contributions: \_\_\_\_\_

(B) ( ) Hours of service requirement for regular matching contributions: \_\_\_\_\_

(C) ( ) Hours of service requirement for additional discretionary matching contributions: \_\_\_\_\_

(3) Last day and service requirement - must be in covered employment on last day:

(A) ♦ Service requirement:

(i) ( ) Hours of service requirement for nonelective contributions: \_\_\_\_\_

(ii) ( ) Hours of service requirement for regular matching contributions: \_\_\_\_\_

(iii) ( ) Hours of service requirement for additional discretionary matching contributions: \_\_\_\_\_

(4) Last day or 501 hours requirement

*(This provision excludes from participation only those employees who may be excluded from coverage testing under Code Section 410(b). If an employee is in an uncovered employment classification on the last day of the plan year, he is considered to have satisfied the last day requirement.)*

(5) No last day or hours requirement

### XIV.2 EXCEPTIONS TO ALLOCATION REQUIREMENTS

- (a) [ ] Exceptions to allocation requirements for nonelective contributions:

(1) [ ] Last day requirement does not apply in cases of:

(A) [ ] Death

(B) [ ] Normal retirement

(i) [ ] Exception also applies to early retirement

(C) [ ] Disability

(2) [ ] Service requirement does not apply in cases of:

(A) [ ] Death

- (B)  Normal retirement
    - (i)  Exception also applies to early retirement
  - (C)  Disability
  - (D)  Service requirement doesn't apply for plan year in which employee becomes eligible to participate part-way through plan year
- (b)  Exceptions to allocation requirements for regular matching contributions:
- (1)  Last day requirement does not apply in cases of:
    - (A)  Death
    - (B)  Normal retirement
      - (i)  Exception also applies to early retirement
    - (C)  Disability
  - (2)  Service requirement does not apply in cases of:
    - (A)  Death
    - (B)  Normal retirement
      - (i)  Exception also applies to early retirement
    - (C)  Disability
    - (D)  Service requirement doesn't apply for plan year in which employee becomes eligible to participate part-way through plan year
- (c)  Exceptions to allocation requirements for additional discretionary matching contributions:
- (1)  Last day requirement does not apply in cases of:
    - (A)  Death
    - (B)  Normal retirement
      - (i)  Exception also applies to early retirement
    - (C)  Disability
  - (2)  Service requirement does not apply in cases of:
    - (A)  Death
    - (B)  Normal retirement
      - (i)  Exception also applies to early retirement
    - (C)  Disability
    - (D)  Service requirement doesn't apply for plan year in which employee becomes eligible to participate part-way through plan year

#### XIV.3 HOURS OF SERVICE CREDITING

*(If hours of service crediting is selected for eligibility or vesting service, select same criteria for crediting hours of service.)*

- (a)  Hours of service credited using the following equivalency:
  - (1)  10 hours per day *(DOL equivalency)*
  - (2)  45 hours per week *(DOL equivalency)*
  - (3)  95 hours per semi-monthly payroll period *(DOL equivalency)*
  - (4)  190 hours per month *(DOL equivalency)*
  - (5)  Other: \_\_\_\_\_
- (b)  Limit hours of service credited during absence from employment *(other than military leave.)*
  - (1)  Number of hours credited for absence: \_\_\_\_\_ *(=> 501 hours)*
  - (2)  Limit does not apply to absences because of:

**XIV.4 DEFINITION OF DISABILITY**

*(If plan provides for 100% vesting on disability, select same criteria.)*

- (a) ♦ **Participant is disabled if** *(must select at least one option):*
- (1)  **Eligible for social security disability**
  - (2)  **Eligible for benefits under employer's long term disability program**
  - (3)  **Determined by the plan administrator**

## XV. CONTRIBUTION LIMITATIONS

### XV.1 CODE SECTION 415 LIMITATIONS

- (a) ♦ Limitations under other plans
  - (1) ♦ If contributions to be made under Plan, when combined with contributions to be made under other defined contribution plans maintained by employer, would exceed the 415 limits:
    - (A) ( ) Reduce other plans first, then reduce under this plan
    - (B) ( ) Reduce under this plan first then under other plans
    - (C) ( ) Reduce pro rata among all plans simultaneously
    - (D) (X) Reduce last amounts to be allocated first
    - (E) ( ) Other reduction method (*complete Addendum Re: 415 Order of Reduction*)

## XVI. INVESTMENT OF PARTICIPANT ACCOUNTS

### XVI.1 PARTICIPANT DIRECTED INVESTMENTS

- (a)  Participants direct investment of a portion or all of their accounts:
- (1)  Options
- (A)  Participant direction restricted to vested portions of accounts only
- (B)  Employer directs investment of the following:

--

- (C)  Percentage increments for investing contributions specified in plan: 1%
- (2)  Investment elections may be changed as of:
- (A)  First of month following valuation date
- (B)  Enrollment dates
- (C)  Date administrator receives instructions from participant
- (D)  Other dates: \_\_\_\_\_
- (3)  If participant fails to direct investments, his account will be invested:
- (A)  As directed by the administrator
- (B)  In general fund
- (C)  In default investment fund
- (D)  In the following investment funds:

--

### XVI.2 AVAILABLE INVESTMENTS

*(Complete only if participants direct investments.)*

- (a)  Employer selects available investment options
- (1)  Participants may also select investment options under self-directed brokerage option
- (b)  Participants select available investment options

### XVI.3 TRANSFER OF INVESTMENTS

*(Complete only if participants direct investments.)*

- (a)  Transfer percentage increments specified in plan: \_\_\_\_\_%  
*(If transfer percentages are not specified, participants may transfer percentages specified by administrator.)*
- (b)  Participants may transfer an optional dollar amount specified by participant  
*(May select only if transfer percentage is not specified in plan.)*
- (c)  Transfer effective dates:
- (1)  First of the month following valuation date

- (2) ( ) Enrollment date
  - (3) (X) Date administrator receives instructions from participant
  - (4) ( ) Other dates: \_\_\_\_\_
- (d) [ ] Transfer elections must be recorded the number of days prior to the effective date specified by the administrator

## XVII. HARDSHIP WITHDRAWALS

### XVII.1 AVAILABILITY

- (a)  **Plan permits hardship withdrawals from the following accounts** *(if the plan is a money purchase plan, hardship withdrawals of employer contributions, including pick-up contributions, and of after-tax contributions, if matched, are not permitted):*
- (1)  **Pick-up contributions**
  - (2)  **After-tax contributions**
    - (A)  **After-tax contributions that have been matched may not be withdrawn**
  - (3)  **Rollover contributions**
  - (4)  **Nonelective contributions**
  - (5)  **Matching contributions**
  - (6)  **Prior nonelective contributions**
  - (7)  **Prior matching contributions**

### XVII.2 DEFINITION OF IMMEDIATE AND HEAVY FINANCIAL NEED BASED ON IRS SAFE HARBORS ONLY

*(Safe harbor needs include Code Section 213(d) medical expenses, purchase of a principal residence, post-secondary education/tuition expenses (including room and board), prevention of eviction from or foreclosure on the mortgage of a principal residence, funeral and burial expenses, and repairs to a principal residence for which a casualty loss deduction would be available.)*

### XVII.3 HARDSHIP WITHDRAWAL FEATURES

- (a)  **Hardship withdrawals will be effective as soon as administratively feasible following administrator's approval**
- (1)  **Hardship withdrawals of nonelective contributions permitted only if 100% vested**
  - (1)  **Hardship withdrawals of matching contributions permitted only if 100% vested**
  - (1)  **Hardship withdrawals of prior nonelective contributions permitted only if 100% vested**
  - (1)  **Hardship withdrawals of prior matching contributions permitted only if 100% vested**

## XVIII. NON-HARDSHIP WITHDRAWALS

### XVIII.1 SOURCES AND CONDITIONS FOR NON-HARDSHIP WITHDRAWALS

- (a) ♦ Rollover contributions
  - (1)  Non-hardship withdrawals permitted from rollover contributions:
    - (A)  At any time
    - (B)  Only if the participant has attained age \_\_\_\_\_
- (b) ♦ After-tax contributions
  - (1)  Non-hardship withdrawals permitted from after-tax contributions:
    - (A)  At any time
    - (B)  Only if the participant has attained age \_\_\_\_\_
  - (C)  After-tax contributions that have been matched may not be withdrawn
- (c)  Permit withdrawals of following contributions upon attaining specified age  
*(must be at least age 62 if the plan is a money purchase plan)*
  - (1)  Permit withdrawals of pick-up contributions at age \_\_\_\_\_
  - (2)  Permit withdrawals of nonelective contributions at age \_\_\_\_\_
  - (3)  Permit withdrawals of matching contributions at age \_\_\_\_\_
  - (4)  Permit withdrawals of prior nonelective contributions at age \_\_\_\_\_
  - (5)  Permit withdrawals of prior matching contributions at age \_\_\_\_\_

### XVIII.2 NON-HARDSHIP WITHDRAWAL FEATURES

- (a) ♦ Non-hardship withdrawals will be effective as soon as administratively feasible following administrator's approval
- (b)  Non-hardship withdrawals of nonelective contributions permitted only if 100% vested
- (c)  Non-hardship withdrawals of matching contributions permitted only if 100% vested
- (d)  Non-hardship withdrawals of prior nonelective contributions permitted only if 100% vested
- (e)  Non-hardship withdrawals of prior matching contributions permitted only if 100% vested

## **XIX. LOANS**

### **XIX.1 AVAILABILITY**

- (a)  Plan permits participant loans
  - (1)  Loans not available from following portions of participant's account:
    - (A)  Specified sub-accounts:

- (i)  Balance of specified sub-accounts also excluded in determining maximum permissible loan amount

## XX. DISTRIBUTIONS

### XX.1 FORMS OF PAYMENT

- (a) ♦ Available forms
- (1) ♦ Single sum is normal form
- (2) [ ] Annuities
- (A) ♦ Forms of annuity:
- (i) ( ) Participant selects any form of annuity
- (ii) ( ) Available forms annuity are:
- 
- (B) [ ] QJSA is available annuity form; survivor percentage under QJSA is 50% unless larger percentage selected below
- (i) ( ) 100% survivor percentage
- (ii) ( ) 66 2/3% survivor percentage
- (3) [X] Installment payments
- (A) [X] Participants may elect more rapid distribution
- (i) [ ] Election must be made when distribution commences
- (4) [ ] Minimum required distributions  
*(Select only if plan does not otherwise provide for installment payments.)*
- (A) ( ) Only payable while employee continues employment after required beginning date
- (B) ( ) Payable if payments start at participant's required beginning date, whether or not participant is still employed on that date
- (C) [ ] If participant dies before required beginning date, beneficiary may receive minimum required distributions
- (b) [X] A participant may elect distribution in more than one form of payment

### XX.2 CASH-OUTS

- (a) [ ] Small account balances will be cashed out upon a distribution event
- (1) ♦ Cash-out amount is:
- (A) ( ) \$1,000
- (B) ( ) \$3,500
- (C) ( ) \$5,000
- (D) ( ) \$\_\_\_\_\_
- (2) [ ] Rollover contributions are disregarded in determining whether account will be cashed out

### XX.3 COMMENCEMENT OF BENEFITS WHILE EMPLOYED

- (a) [X] A participant who continues employment beyond normal retirement date may elect to commence retirement benefits while employed
- (b) [X] A participant who is disabled and continues employment may elect to commence retirement benefits
- (1) ♦ Participant is disabled if *(must select at least one option)*:

*(If plan provides for 100% vesting on disability or for a disability exception to a last day or hours allocation requirement, select same criteria.)*

- (A)  Eligible for social security disability
- (B)  Eligible for benefits under employer's long term disability program
- (C)  Determined by the plan administrator

#### XX.4 POST 70-1/2 DISTRIBUTIONS

- (a)  A participant who continues employment beyond April 1 of the calendar year following the year he attains age 70 1/2:
  - (1)  Is required to commence retirement benefits as of that date ("old rule")  
*(This preserves the "required beginning date" rules in effect for non-government plans under Code Section 401(a)(9) prior to the Small Job Protection Act of 1996. The old rule was never required to be applied to government plans.)*
  - (2)  May elect to commence retirement benefits as of that date ("modified government rule")  
*(If this provision is selected, the "required beginning date" rules applicable to government plans apply, though a participant may elect to start benefits at the time they would have been payable under the old rule described above for non-government plans.)*
  - (3)  May not commence retirement benefits as of that date ("government rule")  
*(If this provision is selected, the "required beginning date" rules applicable to government plans apply.)*

#### XX.5 DISTRIBUTIONS ON TERMINATION OF EMPLOYMENT

- (a)  Participant terminating prior to normal retirement date may postpone distribution:
  - (1)  Only to later of age 62 or normal retirement date
  - (2)  To required beginning date
- (b)  Terminated participant may elect partial distribution
- (c)  Participant may waive 30-day waiting period to receive distribution

#### XX.6 REQUIRED COMMENCEMENT OF DISTRIBUTION TO BENEFICIARIES

- (a)  Distribution to beneficiary of participant who dies before his required beginning date will be made:
  - (1)  In full within 5 years of participant's death *(or by date participant would reach 70-1/2 if participant's spouse is sole beneficiary)*  
*(Select if plan provides only for single sum distributions to beneficiaries – no installments, no annuities, and no minimum required distributions)*
  - (2)  In installments over beneficiary's life expectancy beginning within 1 year of participant's death *(or at date participant would have reached age 70-1/2, if participant's spouse is sole beneficiary)*  
*(Select if plan provides only for installments or annuity payments.)*
  - (3)  Either (1) in full within 5 years of participant's death or (2) in installments over the beneficiary's life expectancy, as elected by the participant or beneficiary  
*(Select if plan provides for (a) single sum payments and (b) installment,*

annuity, or minimum required distribution payments.)

- (A)  If no election is made, distribution will be made
  - (i)  In full within 5 years of participant's death (or by date participant would reach 70-1/2, if participant's spouse is sole beneficiary)
  - (ii)  In installments over the beneficiary's lifetime beginning within 1 year of participant's death (or at date participant would have reached age 70-1/2, if participant's spouse is sole beneficiary)

#### XX.7 EFFECT OF REEMPLOYMENT ON DISTRIBUTION

- (a)  If a participant is reemployed:
  - (1)  No further distribution will be made until subsequent termination and prior form of payment election is null and void
  - (2)  Participant continues to be eligible to receive distribution of prior account balance and prior form of payment election continues in effect  
*(Payments made after reemployment may be subject to early distribution taxes, as distribution may no longer be viewed as due to termination of employment.)*

#### XX.8 BENEFICIARIES

- (a)  If no beneficiary has been designated the default beneficiary will be participant's spouse or, if none:
  - (1)  Participant's estate
  - (2)  Participant's surviving children in equal shares or, if none, participant's estate
  - (3)  Participant's issue, per stirpes, or, if none, participant's surviving parents in equal shares, or, if none, participant's estate
  - (4)  Other:

--

#### XX.9 SPOUSAL PROVISIONS

- (a)  Spousal consent is required for certain plan purposes
  - (1)  Spousal consent is required for plan loans
  - (2)  Spousal consent is required for in-service withdrawals
  - (3)  Spousal consent is required to elect form of payment other than QJSA  
*(applies only if plan provides QJSA form of payment)*
  - (4)  Spousal consent is required to select non-spouse beneficiary
- (b)  Participant's spouse for beneficiary and, if applicable, consent purposes means:
  - (1)  The participant's spouse as determined under the laws of the state in which the participant resides
  - (2)  The person of the opposite sex to whom the participant is married in a legal union between one man and one woman as husband and wife  
*(Regardless of the employer's selection, this definition applies for purposes of applying the minimum distribution rules under Code Section 401(a)(9).)*

## XXI. MISCELLANEOUS

XXI.1 THE PLAN SHALL BE GOVERNED BY THE LAW OF THE STATE IN WHICH EMPLOYER HAS ITS PRINCIPAL PLACE OF BUSINESS

### XXI.2 PLAN EXPENSES

- (a) ♦ Except to the extent they are reduced by forfeitures, general administrative expenses of the plan are:
- (1) (X) Paid from participants' accounts, unless the employers elect to make payment
  - (2) ( ) Paid from participants' accounts
    - (A) ( ) For all current and former participants with an account balance
    - (B) ( ) Except employer pays expenses for all current participants and the following former participants *(if selected)*:
      - (i) [ ] Retired participants with an account balance following retirement
      - (ii) [ ] Terminated participants with an account balance following termination

## **XXII. SUPERSEDING PLAN PROVISIONS**

### **XXII.1 IF SELECTED BELOW, THE PLAN INCLUDES AN ADDENDUM DESCRIBING PROVISIONS THAT SUPERSEDE ANY INCONSISTENT PROVISIONS OF THE ADOPTION AGREEMENT OR BASIC PLAN DOCUMENT**

- (a)  An addendum describing superseding provisions is attached at the end of the plan

## XXIII. FUNDING AGENT INFORMATION

### XXIII.1 IDENTIFICATION OF FUNDING AGENT

(a) ♦ Name of funding agent:

The Hartford Life Insurance Company

**XXIV. EXECUTION**

*This Plan must be signed and dated below by all the indicated parties to be effective*

EXECUTED AT \_\_\_\_\_,

\_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_ 20 \_\_\_\_\_.

**Town of Trumbull**

**By:** \_\_\_\_\_

**Title:** \_\_\_\_\_

**ADDENDUM  
RE: SUPERSEDING PLAN PROVISIONS**

**A.1 SUPERSEDING PLAN PROVISIONS**

- (a) ♦ The following provisions supersede other provisions of this Adoption Agreement and/or the Basic Plan Document in the manner described:

<b>X.3</b>	<b>OPTIONAL LIMITATIONS ON MATCHING CONTRIBUTIONS</b>
(a)	<input type="checkbox"/> No match for contributions attributable to following types of compensation:
(b)	<input type="checkbox"/> No match for after-tax contributions withdrawn before the end of the plan year
(c)	<input checked="" type="checkbox"/> No match for contributions made before eligibility to participate in match
(d)	<input checked="" type="checkbox"/> No match for contributions above a specified limitation
(1)	• Limitation is:
(A)	<input checked="" type="checkbox"/> 5% of compensation or as outlined in a participant's union contract
(B)	<input type="checkbox"/> \$ _____
(C)	<input type="checkbox"/> _____% of compensation, provided that contributions matched cannot exceed \$ _____
(D)	<input type="checkbox"/> Discretionary limitation that may be a percentage of compensation and/or a dollar amount

**Supplemental Amendment No. 1**  
**To**  
**PDS ADVANTAGE™ GOVERNMENT 401(a) PLAN**  
**ADOPTION AGREEMENT No. 002**  
**FOR**  
**TOWN OF TRUMBULL DEFINED CONTRIBUTION RETIREMENT PLAN**

1. The definition of "Compensation" in Section 1.1:
  - (a)  does not include "differential pay"
  - (b)  includes "differential pay"
    - (1) "Compensation" includes "differential pay"
      - (A)  for all purposes
      - (B)  only for purposes of determining the following:
        - (i)  After-Tax Contributions
        - (ii)  Nonelective Contributions
    - (2) The inclusion of "differential pay" in "Compensation" applies to payments of "differential pay" made after:
      - (A)  December 31, 2008
      - (B)  The following date that is later than December 31, 2008: February 15, 2012
2. With respect to hardship withdrawals in Section 13.6:
  - (a)  Withdrawals may not be made to meet an immediate and heavy financial need of a Participant's primary Beneficiary
  - (b)  Withdrawals may be made to meet an immediate and heavy financial need of a Participant's primary Beneficiary
  - (1) This provision applies to withdrawals made after:
    - (A)  January 9, 2007
    - (B)  The following date that is later than January 9, 2007: February 15, 2012
3. The provisions in the definition of "eligible retirement plan" in Section 16.4(a) and in the definition of "qualified distributee" in Section 16.4(c) relating to non-spouse beneficiaries apply to distributions made:
  - (a)  in Plan Years beginning after December 31, 2009.
  - (b)  on or after \_\_\_\_\_, which is earlier than the first day of the first Plan Year beginning after December 31, 2009, but not earlier than January 1, 2007.
4. For purposes of Section 21.24, a Participant who is absent from employment because of military service who dies while performing qualified military service:
  - (a)  will not be treated as having returned to employment for purposes of determining his eligibility for and the amount of contributions to be made to his Account for his period of military leave

- (b) ( ) will be treated as having returned to employment for purposes of determining his eligibility for and the amount of contributions to be made to his Account for his period of military leave
  - (1) This provision applies for deaths that occur:
    - (A) [ ] on or after December 31, 2006
    - (B) [ ] on or after \_\_\_\_\_, which is a date later than December 31, 2006
  
- 5. For purposes of Section 21.14, a Participant who is absent from employment because of military service who becomes disabled while performing qualified military service:
  - (a) (X) will not be treated as having returned to employment for purposes of determining his eligibility for and the amount of contributions to be made to his Account for his period of military leave
  - (b) ( ) will be treated as having returned to employment for purposes of determining his eligibility for and the amount of contributions to be made to his Account for his period of military leave
    - (1) This provision applies for a disability that occurs:
      - (A) [ ] on or after December 31, 2006
      - (B) [ ] on or after \_\_\_\_\_, which is a date later than December 31, 2006
  
- 6. For purposes of the Appendix regarding Minimum Distribution Requirements:
  - (a) (X) Special provisions applicable to 2009 Minimum Required Distributions do not apply
  - (b) ( ) Special provisions applicable to 2009 Minimum Required Distributions apply
    - (1) Either:
      - (A) ( ) 2009 RMDs will be made unless Participant or Beneficiary elects otherwise
      - (B) ( ) 2009 RMDs will not be made unless Participant or Beneficiary elects otherwise
    - (2) The following will also be treated as eligible rollover distributions in 2009:
      - (A) ( ) 2009 RMDs and Extended 2009 RMDs
      - (B) ( ) 2009 RMDs, but only if paid with an additional amount that is an eligible rollover distribution without regard Code Section 401(a)(9)(H)

Section 9-199 (c) allows a municipality, by ordinance, to appoint additional members to the Board of Assessment Appeals for any assessment year.

**Sec. 9-199. Boards of assessment appeals. Alternate and additional members.** (a)

Unless otherwise provided by law, each town shall elect a board of assessment appeals consisting of three members and shall elect such officers at regular municipal elections for terms of four years. Such members of the board of assessment appeals shall hold office for the term for which they are elected and until their successors are elected and have qualified. When the number of members of the board of assessment appeals to be elected by any town is even, no person shall vote for more than one-half the number, and when the number to be elected is odd, no person shall vote for more than a bare majority of the number, provided the legislative body of any town may provide that the electors of such town vote for the full number of members to be elected thereat, any provision of the special acts to the contrary notwithstanding. The candidates in number sufficient to fill such offices who have the highest number of votes shall be elected. Nothing in this section shall be construed to affect the method of rotation of members of a board of assessment appeals legally in effect on October 1, 1976.

(b) The legislative body of a municipality or, in the case of a municipality for which the legislative body is a town meeting or a representative town meeting, the board of selectmen may appoint an alternate for each member of the board of assessment appeals. Each alternate member shall be an elector of the municipality. When seated, an alternate member shall have all the powers and duties of a member of the board of assessment appeals.

(c) Notwithstanding the provisions of subsection (a) of this section or of any special act, municipal charter or home rule ordinance, a municipality may, by ordinance, authorize its legislative body to appoint additional members to the board of assessment appeals for any assessment year.

## 2012 Chevrolet Pick-up Truck

### Current pick-up:

1999 Ford PU, 8' bed, plow hitch, 4WD with 20,461 miles

Issued – rusted frame and undercarriage parts make operation unsafe. Highway Department

Tie-rod has rusted off and is dangling. No rust prevention or undercoating was ever

Done causing extensive damage.

### New 2012 pick-up

2012 Chevrolet PU, 8' bed, 4 WD Bid of \$23,591 plus \$550 (spray on bed liner) for total cost

Of \$24,141 from Northwest Hills (listed on State Bid Contracts)

Plow Hitch - \$1,798.67 from New Haven Body Incorporated

(Current Ford plow hitch cannot be used on Chevy frame)

Total: \$25,939.67 less \$3,000 trade-in value for Ford

**Final Cost: \$22,939.67**

# New Haven Body Incorporated

395 State Street  
 P.O. Box 474  
 North Haven, CT 06473-0474  
 203-248-6388 203-281-0060 FAX

# QUOTATION

DATE	ESTIMATE NO.
12/28/2011	68739

NAME / ADDRESS
TOWN OF TRUMBULL 5866 MAIN ST TRUMBULL, CT 06611

QUOTATION PREPARED BY	TERMS	FOB	DAYS VALID FOR
MICHAEL POSACK	Net 30 Days	NORTH HAVEN	15

QTY	PART NUMBER	DESCRIPTION	COST	TOTAL
		ATTENTION KARL		
		RE: 2012 GM 2500 HD TRUCK HALF 3 PLUG		
1	7192	PEC ATTACH GM 2500HD/3500	472.57	472.57
1	26345	VEHICLE CONTROL HARNESS, 3-PIN (STRAIGHT BLADE)	196.71	196.71
1	29400-5	HB-3/H11 PUG IN HARNESS	173.63	173.63
1	29070-1	MODULE, 3-PORT, DRL	103.20	103.20
1	8291K	PLUG COVER	4.58	4.58
1	8292	JOYSTICK SOLENOID CONTROL, STRAIGHT PLOWS	251.44	251.44
1	63411	VEHICLE BATTERY CABLE ASSY,	57.19	57.19
1	5794K-1	MOTOR RELAY	17.32	17.32
1	22511	BATTERY CABLE, 22" RED	8.05	8.05
		BLADE HALF 3 PLUG		
1	26359	PLOW CONTROL HARNESS, 3-PIN (STRAIGHT BLADE)	56.83	56.83
1	26347	HARNESS KIT, PLOW LIGHTS	98.38	98.38
1	21294	PLOW CABLE ASSEMBLY, MM	38.77	38.77
	LABOR	LABOR CHARGE	320.00	320.00

<b>Total</b>	<b>\$1,798.67</b>
--------------	-------------------

PLEASE PROVIDE AUTHORIZED  
 SIGNATURE TO ACCEPT ORDER.



# COMMERCIAL - FLEET - GOVERNMENT

*PD*

*99 Ford*

DATE: *12/28/11*

FAX NUMBER (203) 879-3116

EMAIL: jodir@aol.com

*8800 Gross Weight*

PLEASE DELIVER THE FOLLOWING PAGE (S) TO:

NAME *Karl*

FAX NUMBER/BUSINESS NUMBER

FROM *Warren*

BUSINESS NUMBER

TOTAL NUMBER OF PAGES, INCLUDING COVER *10*

MESSAGE: *2500 4x4*

ANY QUESTIONS: 203-879-3515

DELIVERY INSTRUCTIONS:

NORMAL PROCESSING: \_\_\_\_\_

HIGH PRIORITY-DELIVER ASAP: *H*

CONFIDENTIAL ADDRESSEE ONLY: \_\_\_\_\_

REPLY REQUESTED: *H*

*Pkup 20461*

*Scuba 83,087*

*Van w horses 109,601*

MAILING ADDRESS: P.O. BOX 4366, WATERBURY, CT 06704  
UPS ETC: 115 CENTER STREET, WOLCOTT, CT 06716  
NEW TELEPHONE: (203) 879-3515 FAX (203) 879-3116



Prepared For:  
TOWN OF TRUMBULL

Prepared By:  
NORTHWEST HILLS/FLEET OFFICE  
P.O. BOX 4369  
WATERBURY, CT 06704  
Phone: (203) 879-3515  
Fax: (203) 879-3116  
Email: jadlr@aol.com



2012 Chevrolet Silverado 2500HD

**VEHICLE REPORT**

**PRICING SUMMARY**

Price Component	Dir Net Inv	MSRP
Base Price		
Total Options	\$28,897.06	\$31,755.00
Vehicle Subtotal	\$799.00	\$940.00
Advert/Adjustments	\$29,696.06	\$32,695.00
Destination Charge	\$0.00	\$0.00
	\$995.00	\$995.00
<b>TOTAL VEHICLE PRICE</b>	<b>\$30,691.06</b>	<b>\$33,690.00</b>

**QUOTE WORKSHEET**

Customer Signature / Date

Dealer Signature / Date

*Paul*  
Your Cost on this unit as spec'd  
\$ 23,591  
550 *Finer Over Rail*

24,141

~~Heated Mirrors Requires PW/PDL  
Cost for Package \$ 782.00~~

24,141.00  
1,798.67  
25,939.67  
3,000.00  
22,939.67

*TruDe*  
*in*

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Prepared For:  
TOWN OF TRUMBULL

Prepared By:  
NORTHWEST HILLS/FLEET OFFICE  
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2012 Chevrolet Silverado 2500HD

**VEHICLE REPORT**

**SELECTED MODEL**

Code	Description	Dir Net Inv	MSRP
CK20903	2012 Chevrolet Silverado 2500HD 4WD Reg Cab 133.7" Work Truck	\$28,097.06	\$31,755.00

**SELECTED VEHICLE COLORS**

Code	Description
-	Interior: No color has been selected.
-	Exterior 1: No color has been selected.
-	Exterior 2: No color has been selected.

**SELECTED OPTIONS**

Code	Description	Dir Net Inv	MSRP
<b>BODY CODE</b>			
E63	PICKUP BOX, FLEETSIDE (STD)	\$0.00	\$0.00
<b>SUSPENSION PKG</b>			
Z85	SUSPENSION PACKAGE, HANDLING/TRAILERING, HEAVY-DUTY includes 35mm twin tube shock absorbers and 36 front stabilizer bar (STD)	\$0.00	\$0.00
<b>EMISSIONS</b>			
NE1	EMISSIONS, ARIZONA, CONNECTICUT, MAINE, MARYLAND, MASSACHUSETTS, NEW JERSEY, NEW YORK, OREGON, PENNSYLVANIA, RHODE ISLAND, VERMONT AND WASHINGTON STATE REQUIREMENTS	\$0.00	\$0.00
<b>ENGINE</b>			
L96	ENGINE, VORTEC 6.0L VARIABLE VALVE TIMING V8 SFI E85-COMPATIBLE, FLEXFUEL capable of running on unleaded or up to 85% ethanol (360 hp [268.1 kW] @ 5400 rpm, 380 lb-ft of torque [513.0 N-m] @ 4200 rpm) with (E63) fleetside pickup box; (322 hp [240.1 kW] @ 4400 rpm, 380 lb-ft of torque [513.0 N-m] @ 4200 rpm) with (ZWB) pickup box delete (Does not include E85 capability with (ZWB) pickup box delete.) (STD)	\$0.00	\$0.00
<b>TRANSMISSION</b>			
MYD	TRANSMISSION, 6-SPEED AUTOMATIC, HEAVY-DUTY, ELECTRONICALLY CONTROLLED with overdrive and tow/haul mode (STD) (Requires (L96) Vortec 6.0L V8 SFI engine.)	\$0.00	\$0.00
<b>GVWR</b>			
GEH	GVWR, 8500 LBS. (4309 KG) (Requires (L96) Vortec 6.0L V8 SFI engine. Not available on CC20903 or CK20943 models.)	\$0.00	\$0.00
<b>AXLE</b>			
GT4	REAR AXLE, 3.73 RATIO	\$0.00	\$0.00

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December 27, 2011

Prepared For:  
TOWN OF TRUMBULL

Prepared By:  
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Phone: (203) 879-3515  
Fax: (203) 879-3116  
Email: jodlr@aol.com



2012 Chevrolet Silverado 2500HD

**VEHICLE REPORT**

**SELECTED OPTIONS**

Code	Description	Dir Net Inv	MSRP
<b>PREFERRED EQUIPMENT GROUP</b>			
1WT	WORK TRUCK PREFERRED EQUIPMENT GROUP Includes standard equipment	\$0.00	\$0.00
<b>WHEELS</b>			
PYN	WHEELS, 17" (43.2 CM) STEEL (Includes 17" x 7.5" (43.2 cm x 19.1 cm) steel spare wheel. Spare not included with (ZW9) pickup box delete unless a spare tire is ordered.) (STD)	\$0.00	\$0.00
<b>TIRES</b>			
QXT	TIRES, LT265/70R17E ALL-TERRAIN, BLACKWALL	\$170.00	\$200.00
<b>PAINT SCHEME</b>			
ZY1	PAINT, SOLID	INC	INC
<b>PAINT</b>			
50U	SUMMIT WHITE	\$0.00	\$0.00
<b>SEAT TYPE</b>			
AE7	SEATS, FRONT 40/20/40 SPLIT-BENCH, 3-PASSENGER, DRIVER AND FRONT PASSENGER MANUAL RECLINING with outboard head restraints and center fold-down armrest with storage (STD)	\$0.00	\$0.00
<b>SEAT TRIM</b>			
88V	DARK TITANIUM, VINYL SEAT TRIM (STD) (Vinyl seats require (BG9) Black rubberized vinyl floor covering)	\$0.00	\$0.00
<b>RADIO</b>			
UM7	AUDIO SYSTEM, AM/FM STEREO with seek-and-scan and digital clock (STD) (Not available with (U2K) SiriusXM Satellite Radio.)	\$0.00	\$0.00
<b>ADDITIONAL EQUIPMENT</b>			
VYU	SNOW PLOW PREP PACKAGE includes 10-amp power for backup and roof emergency light, high-flow front bumper, forward lamp wiring harness, (TRW) Provision for cab roof mounted lamp/hood, instrument panel jumper wiring harness for electric trailer brake controller, (NZZ) Skid Plate Package and increased Front Gross Axle Weight Rating (Requires 4WD models. Includes (KW1) 160-amp alternator with (L96) Vortec 6.0L V8 SFI engine. Includes (K76) dual 125-amp alternators with (LML) or (LGH) Duramax 6.6L Turbo Diesel V8 engine.)	\$242.25	\$285.00
KW1	ALTERNATOR, 160 AMPS (Included with (VYU) Snow Plow Prep Package when (L96) Vortec 6.0L V8 SFI engine is ordered. Requires (L96) Vortec 6.0L V8 SFI engine.)	\$0.00	\$0.00
Z82	TRAILERING EQUIPMENT, HEAVY-DUTY includes trailering hitch platform and 2.5- inch receiver with 2" adapter, 7-wire harness (harness includes wires for: park lamps, backup lamps, right turn, left turn, electric brake lead, battery and ground) with independent fused trailering circuits mated to a 7-way sealed connector, wiring harness for after-market trailer brake controller (located in the instrument panel harness) and (JL1) Integrated trailer brake controller (Also includes (G80) heavy-duty automatic locking rear differential on Retail Orders only. Not available with (ZW9) pickup box delete or (VF7) rear bumper delete.)	\$388.75	\$455.00

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December 27, 2011

Prepared For:  
TOWN OF TRUMBULL

Prepared By:  
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Phone: (203) 879-3515  
Fax: (203) 879-3116  
Email: jodir@sot.com



2012 Chevrolet Silverado 2500HD

**VEHICLE REPORT**

**SELECTED OPTIONS**

Code	Description	Dir Net Inv	MSRP
JL1	TRAILER BRAKE CONTROLLER, INTEGRATED (With (E63) fleetside pickup box, included and only available with (Z82) heavy-duty trailering equipment. Available as a free flow option with (ZV9) pickup box delete.)	INC	INC
NZZ	SKID PLATE PACKAGE, FRAME-MOUNTED SHIELDS includes front underbody shield starting behind front bumper and running to first cross-member, protecting front underbody, oil pan, differential case and transfer case (Not available on 2WD Models unless (Z71) Off-Road Suspension Package is ordered. Included with (Z71) Off-Road Suspension Package or (VYU) Snow Plow Prep Package.)	INC	INC
TRW	PROVISION FOR CAB ROOF-MOUNTED LAMP/BEACON provides an instrument panel-mounted switch and electrical wiring tucked beneath the headliner for a body upfitter to connect a body-mounted warning or emergency lamp. 30-amp nominal rating (On Retail orders, included and only available with (VYU) Snow Plow Prep Package. Available to order with a Fleet or Government order type.)	INC	INC
<b>OPTIONS TOTAL</b>		<b>\$799.00</b>	<b>\$940.00</b>

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December 27, 2011

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TOWN OF TRUMBULL

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Phone: (203) 879-3515  
Fax: (203) 879-3118  
Email: jodlr@aol.com

2012 Chevrolet Silverado 2500HD

## VEHICLE REPORT

### STANDARD EQUIPMENT

#### Mechanical

- Engine, Vortec 6.0L Variable Valve Timing V8 SFI E85-compatible, FlexFuel capable of running on unleaded or up to 85% ethanol (360 hp [268.4 kW] @ 5400 rpm, 380 lb-ft of torque [513.0 N-m] @ 4200 rpm) with (E63) fleetside pickup box, (322 hp [240.1 kW] @ 4400 rpm, 380 lb-ft of torque [513.0 N-m] @ 4200 rpm) with (ZV9) pickup box delete (Does not include E85 capability with (ZV9) pickup box delete.)
- Transmission, 6-speed automatic, heavy-duty, electronically controlled with overdrive and tow/haul mode (Requires (L96) Vortec 6.0L V8 SFI engine.)
- Rear axle, 3.73 ratio
- Suspension Package, Handling/Trailer, heavy-duty includes 35mm twin tube shock absorbers and 36 front stabilizer bar
- Air cleaner, high-capacity
- Cooling, auxiliary external transmission oil cooler heavy-duty air-to-oil
- Cooling, external engine oil cooler heavy-duty air-to-oil, integral to driver side of radiator tank
- Transfer case, with floor-mounted shifter (Requires 4WD models)
- Four wheel drive
- Battery, heavy-duty 800 cold-cranking amps, maintenance-free with rundown protection and retained accessory power
- Alternator, 125 amps
- Frame, fully-boxed, hydroformed front section
- Recovery hooks, front, Black
- Suspension, front independent, torsion bar
- Suspension, rear 4+1 multi-leaf springs
- Steering, power, recirculating ball
- Brakes, 4-wheel antilock, 4-wheel disc
- Exhaust aluminized stainless-steel muffler and tailpipe

#### Exterior

- Wheels, 17" (43.2 cm) steel (Includes 17" x 7.5" (43.2 cm x 19.1 cm) steel spare wheel. Spare not included with (ZV9) pickup box delete unless a spare tire is ordered.)
- Tires, LT245/75R17E all-season, blackwall
- Pickup box, Fleetside
- Tire carrier, outside spare, winch-type mounted under frame at rear (Orders with (ZV9) Pickup box delete will not include a Spare Tire Carrier unless a spare tire is ordered)

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December 27, 2011

**Prepared For:**  
TOWN OF TRUMBULL

**Prepared By:**  
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2012 Chevrolet Silverado 2500HD

## VEHICLE REPORT

### STANDARD EQUIPMENT

- Spare tire lock keyed cylinder lock that utilizes same key as ignition and door (Not included when (ZV9) pickup box delete or (VF7) rear bumper delete is ordered.)
- Bumper, front chrome includes chrome bumper end caps
- Bumper, rear chrome, step-style with pad (Not available with (ZV9) pickup box delete.)
- Grille, chrome surround
- Headlamps, dual halogen composite with automatic exterior lamp control and flash-to-pass feature
- Lamps, dual cargo area lamps
- Mirrors, outside manual, Black, manual-folding
- Wipers, front intermittent wet-arm with pulse washers
- Door handles, Black

### Entertainment

- Audio system, AM/FM stereo with seek-and-scan and digital clock (Not available with (U2K) SiriusXM Satellite Radio.)
- SiriusXM Satellite Radio, delete
- Audio system feature, 4-speaker system (Requires Regular Cab models)

### Interior

- Seats, front 40/20/40 split-bench, 3-passenger, driver and front passenger manual reclining with outboard head restraints and center fold-down armrest with storage
- Seat trim, Vinyl
- Floor covering, Black rubberized vinyl
- Steering column, Tilt-Wheel, adjustable with brake/transmission shift interlock
- Steering wheel includes theft-deterrent locking feature
- Instrumentation, analog with speedometer, fuel level, engine temperature, tachometer, voltmeter and oil pressure indicators
- Driver Information Center with odometer, trip odometer and message center (monitors numerous systems depending on vehicle equipment level including low fuel, turn signal "on", transmission temperature and oil change notification) (Driver Information Center controls are operated through the trip odometer button unless (UK3) steering wheel mounted audio controls is ordered. On 1LT and 1LZ, includes 8-point compass and outside temperature.)
- Warning tones headlamp on, key-in-ignition, driver and passenger buckle up reminder and turn signal on
- Cruise control, electronic with set and resume speed, located on steering wheel
- Air conditioning, single-zone manual front climate control

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2012 Chevrolet Silverado 2500HD

## VEHICLE REPORT

### STANDARD EQUIPMENT

- Cup holders, front (Also includes rear cupholders on Extended and Crew Cab models)
- Power outlets 2 auxiliary instrument panel-mounted with covers, 12-volt
- Mirror, inside rearview manual day/night
- Visors, driver and front passenger, sliding with clip and passenger side vanity mirror with cover, Opal Gray-colored
- Assist handle, front passenger (Also includes rear assist handles in the headliner on Extended and Crew Cab models)
- Lighting, interior with dome and reading lights, illuminated entry feature and backlit instrument panel switches
- Coat hooks, rear driver and passenger side

### Safety

- StabiliTrak, stability control system with Proactive Roll Avoidance and traction control, includes electronic trailer sway control and hill start assist
- Daytime Running Lamps with automatic exterior lamp control
- Air bags, frontal, driver and right-front passenger (Always use safety belts and the correct child restraints for your child's age and size. Even in vehicles equipped with air bags and the Passenger Sensing System, children are safer when properly secured in a rear seat in the appropriate infant, child or booster seat. Never place a rear-facing infant restraint in the front seat of any vehicle equipped with an active frontal air bag. See the Owner's Manual and child safety seat instructions for more safety information.)
- OnStar, delete
- Tire Pressure Monitoring System (does not apply to spare tire)

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2012 Chevrolet Silverado 2500HD

**WEIGHT RATINGS**

Front Gross Axle Weight Rating:	* 5,200.00
Rear Gross Axle Weight Rating:	6,200.00
Gross Vehicle Weight Rating:	* 9,500.00

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2012 Chevrolet Silverado 2500HD

**WARRANTY INFORMATION**

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**WARRANTY**

*Basic:*  
3 Years/36,000 Miles

*Drivetrain:*  
5 Years/100,000 Miles

*Corrosion:*  
3 Years/36,000 Miles  
Rust-Through  
6 Years/100,000 Miles

*Emissions:*  
Gas Engine  
2 Years/24,000 Miles  
Diesel Engine  
5 Years/50,000 Miles  
Short Term California  
3 Years/50,000 Miles

*Roadside Assistance:*  
5 Years/100,000 Miles